



FX Hedging and Payment Solutions
Individual, Joint or Sole Trader
Application Form

Sydney
+61 2 8317 3131 omfmarkets.com



1. About this Application

1.1 Account Opening Process

Complete and submit an account application

OMF Australia is required to collect and verify your identity in order to open an account with you (refer Section 1.3.3 AMLCTF Requirements). The information required will depend on the type of account you are opening.

In order to process your application we will need:

- Your completed and signed application form; and
- Your permission to complete electronic verification or a certified copy of identification documents for each account holder

If you are providing certified copy of identification documents, please refer to our guide "*How to Provide a Certified Copy of Your Identity Document*" available on our website www.omfmarkets.com.

If you require assistance when completing this form please contact our client services team on +61 2 8317 3131.

Please mail your completed form and certified identification documents to:

OMF Australia Pty Ltd, Suite 401, 45 Lime Street, Sydney NSW 2000

Alternatively scan and email documents to applications@omfmarkets.com.

1.2. Important Information

1.2.1. General advice

This has been prepared for general information only and is not intended as advice. The information in this Application and Disclosure documents has been prepared without taking into account any persons objectives, financial situation or needs. OMF Australia recommends you seek independent advice from your financial adviser, accountant and/or tax agent.

1.2.2. Disclosure documents

Make sure you have read and understood these important documents before completing this form. They contain important information about our services to you and the products provided. We recommend that you download these documents and keep in a safe place for future reference.

- OMF Australia FX Master Agreement
- Financial Services Guide (FSG)
- Product Disclosure Statement Deliverable Foreign Exchange (PDSFX)
- Product Disclosure Statement Deliverable Foreign Exchange Options (PDSFXO)
- Privacy Policy

Information in the FSG, PDSFX and PDSFXO can change from time to time. When information that changes is not materially adverse to clients, we may update the information in these documents or by publishing an update on our website. You can access the latest version of these documents free of charge from our website www.omfmarkets.com or by contacting us (refer Section 1.2 for contact details).

We recommend that you also review the Risk Warning published on our website www.omfmarkets.com.

1.2.3. AML/CTF requirements

OMF Australia is committed to meeting the regulatory requirements for Anti-Money Laundering/Counter-Terrorism Financing Act 2006 (AML/CTF).

To comply with these requirements we may:

- Require you to provide to us, or otherwise obtain, any additional documentation or other information;
- Suspend, block or delay transactions on your account, or refuse to provide services to you;
- Report any, or any proposed, transaction or activity to any body authorised to accept such reports relating to AML/CTF or any other law.

1.2.4. Privacy policy

Account set-up cannot be completed until the information you have provided has been verified. The information OMF Australia collects on this form will be used to process your application and provide services to you. This information will be disclosed to our service providers acting on our behalf and other members of OM Financial Group, including those located in other jurisdictions where privacy laws may not offer the same protections. Please refer to Product Disclosure Statement Deliverable Foreign Exchange for further information. You can view our privacy policy at www.omfmarkets.com.

2. Account Holder Details

2.1. Individual Applicant/Joint Applicant 1/Sole Trader

We are required to collect and verify identification of each applicant and the source of funds under AML/CTF Requirements. The individual named in section 2.1 will be listed as the primary contact on the account.

2.1.1. Personal details applicant 1

Title	<input type="radio"/> Mr	<input type="radio"/> Mrs	<input type="radio"/> Ms	<input type="radio"/> Dr	Other
Surname					
First name					
Middle name	<input type="radio"/> I don't have a middle name				
Any other names known by					
Current residential address					
Postcode				State	
Country					
Time at this address	<input type="radio"/> Tick if less than 3 years				
Previous residential address					
Postcode				State	
Country					
Date of birth					
Nationality					

PO Boxes are not acceptable as a residential address

If less than three years at current address
PO Boxes are not acceptable as a residential address

A politically exposed person (PEP) is an individual who holds a prominent public position or function in a government body or an international organisation, or is an immediate family member or close associate of an individual who holds a prominent public position or function in a government body or international organisation.

If providing a driver's licence number, also select which state or territory has issued the document

If different from current residential address

Must be street address, not a PO Box

Country of residence	
I am or am related to or a close associate of a Politically Exposed Person	
<input type="radio"/> No <input type="radio"/> Yes (please specify position)	
Australian primary identity document	
<input type="radio"/> Australian Driver's Licence <input type="radio"/> Australian Passport <input type="radio"/> I don't have an Australian primary identity document	
Number	Expiry date
Issued by	
<input type="radio"/> NSW <input type="radio"/> VIC <input type="radio"/> QLD <input type="radio"/> SA <input type="radio"/> WA <input type="radio"/> TAS <input type="radio"/> NT	
Electronic verification check	
<input type="radio"/> I consent to electronic verification of my identification <input type="radio"/> I do not consent to electronic verification of identification and will provide certified copies of original identification verifying my full name, residential address and date of birth.	
Phone (home) ()	Phone (business) ()
Phone (mobile) ()	<input type="checkbox"/> Tick this box to receive important notifications by SMS
Fax ()	
Email	
Postal address	
Postcode	State
Country	

2.1.2. Sole trader

Complete this section if you are a sole trader and intend to transact for business purposes

Registered business name	
ABN	
Principal place of business	
Postcode	State
Country	

2.2. Joint Applicant 2

We are required to collect and verify identification of each applicant and the source of funds under AML/CTF Requirements. Please complete section 2.2 for the other applicant of a joint account, if applicable.

2.2.1. Personal details applicant 2

Title		<input type="radio"/> Mr	<input type="radio"/> Mrs	<input type="radio"/> Ms	<input type="radio"/> Dr	<input type="radio"/> Other
Surname						
First name						
Middle name						<input type="radio"/> I don't have a middle name
Any other names known by						
Current residential address						
Postcode				State		
Country						
Time at this address						<input type="radio"/> Tick if less than 3 years
Previous residential address						
Postcode				State		
Country						
Date of birth						
Nationality						
Country of residence						
I am or am related to or a close associate of a Politically Exposed Person						
<input type="radio"/> No <input type="radio"/> Yes (please specify position)						
Australian primary identity document						
<input type="radio"/> Australian Driver's Licence <input type="radio"/> Australian Passport <input type="radio"/> I don't have an Australian primary identity document						
Number			Expiry date			
Issued by						
<input type="radio"/> NSW <input type="radio"/> VIC <input type="radio"/> QLD <input type="radio"/> SA <input type="radio"/> WA <input type="radio"/> TAS <input type="radio"/> NT						
Electronic verification check						
<input type="radio"/> I consent to electronic verification of my identification <input type="radio"/> I do not consent to electronic verification of identification and will provide certified copies of original identification verifying my full name, residential address and date of birth.						
Phone (home)		()		Phone (business)		()
Phone (mobile)		()				
Fax		()				
Email						

PO Boxes are not acceptable as a residential address

If less than three years at current address

PO Boxes are not acceptable as a residential address

A politically exposed person (PEP) is an individual who holds a prominent public position or function in a government body or an international organisation, or is an immediate family member or close associate of an individual who holds a prominent public position or function in a government body or international organisation.

If providing a driver's licence number, also select which state or territory has issued the document

2.3. Source of Funds

Complete section 2.3 in respect of both Applicant 1 and Applicant 2, where applicable.

Source of Funds for your trading with us

<input type="radio"/> Salary and other employment benefits <input type="radio"/> Business earnings <input type="radio"/> Savings/Investments <input type="radio"/> Inheritance/Gift	<input type="radio"/> Sale of assets <input type="radio"/> Other <i>(please specify)</i>
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Employer

Occupation Industry

Purpose of the account

<input type="radio"/> Pay for goods and services for personal use <input type="radio"/> Pay for goods and services for my business <input type="radio"/> Pay for or receive funds from an overseas investment <input type="radio"/> Remit salary and/or bonus received from my employer	<input type="radio"/> Other <i>(please specify)</i>
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Expected frequency of transactions

 One-off payment
 One to four times per year
 Approximately once per month
 More frequently

Paying for transactions

- OMF Australia will only take payments from bank accounts in the same name as your OMF account unless otherwise agreed and approved by OMF Australia.
- OMF Australia may reject payments received from third party bank accounts

3. Client Acknowledgements and Declarations

By signing this Client Application Form, you acknowledge and agree that:

- a. In this Application Form, capitalised terms have the meaning in the FX Master Agreement (a copy of these terms have been provided to you with this application form).
- b. All transactions entered into by you with OMF Australia will be governed by the Master FX Terms.
- c. I/we have received and understood the Financial Services Guide, Product Disclosure Statement Deliverable Foreign Exchange, Product Disclosure Statement Foreign Exchange Options, Privacy Policy, OMF Australia FX Master Agreement and other relevant statements.
- d. I/we understand the nature and risks associated with trading Deliverable Foreign Exchange and I/we hereby confirm I/we have read and understood the Product Disclosure Statement Deliverable Foreign Exchange and Risk Warning on our website www.omfmarkets.com.
- e. I/we understand the nature and risks associated with trading Foreign Exchange Options and I/we hereby confirm I/we have read and understood the Product Disclosure Statement Foreign Exchange Options and Risk Warning on our website www.omfmarkets.com.

- f. I/we confirm that I/we are aged 18 years or over.
- g. I/we warrant and confirm that all information provided by me/us in connection with the application is true and correct. I/we will advise OMF Australia if any such information is to change.
- h. I/we confirm that I/we are not undischarged bankrupt.
- i. I/we hereby give my/our full and informed consent to OMF Australia to verify my/our identity by disclosing my/our personal information such as my/our name(s), date(s) of birth and address(es) to a credit reporting agency or identity verification service for the purposes of undertaking an electronic identity verification in connection with the this application and Anti-Money Laundering and Counter-Terrorism Financing.
- j. I/we agree to provide certified copy of original identification documentation to OMF Australia, if required.
- k. I/we confirm that I am/we are the ultimate beneficial owner(s) of this account and that no person other than me/us have or will have any interest in, influence or control over this account whatsoever.
- l. I/we confirm that all monies which will be paid to OMF Australia originates from a legitimate source and the proceeds of my/our transactions will not be used to finance any illegal activities.
- m. I/we declare that I/we will not be contravening the laws and regulations in this jurisdiction by transacting with OMF Australia.
- n. I have also read, understood and consent to OMF Australia's Privacy Policy, including offshore disclosure of my personal information.

Applicant 1 signature
Signed by (full name)
Date

Applicant 2 signature
Signed by (full name)
Date

Sydney

Suite 401, 45 Lime Street
Kings Street Wharf
Sydney, NSW 2000

P +61 2 8317 3131

E info@omfmarkets.com

omfmarkets.com



OMF Markets is a registered business name of
OMF Australia Pty Limited ACN 608 611 687 AFSL 485351